

**STATE OF CALIFORNIA
ENVIRONMENTAL PROTECTION AGENCY
CALIFORNIA INTEGRATED WASTE MANAGEMENT BOARD**

In the Matter of:)	Tracking No: IWMA BR09-001
)	
)	
City of Clearlake)	COMPLIANCE ORDER
County of Lake)	
)	
)	Public Resources Code
Jurisdiction)	Section 41825

INTRODUCTION

- 1.1 Parties: The California Integrated Waste Management Board (Board) issues this Compliance Order to the City of Clearlake (City), County of Lake.
- 1.2 Authority: Section 41825 of the Public Resources Code (PRC) requires the Board to review implementation of each Jurisdiction's Source Reduction and Recycling Element (SRRE) and Household Hazardous Waste Element (HHWE), at least once every two or four years depending on certain specified criteria; this Board Review (formally Biennial Review) is the Board's independent evaluation of a Jurisdiction's progress in implementing the SRRE- and HHWE-selected programs and reaching the diversion requirements of PRC Section 41780. If a Jurisdiction is not meeting the mandates of the Integrated Waste Management Act (IWMA), the Board may issue a Compliance Order and schedule (PRC Section 41825). Fines of up to \$10,000 per day may be levied if the provisions of the compliance order and schedule are not met (PRC Section 41850).

DETERMINATION OF COMPLIANCE DEFICIENCIES

- 2.1 Board staff conducted a Biennial Review of the City's SRRE. After considering the results of this review and the adoption of this Order at a public hearing commencing on February 24, 2009, the Board determines that:
- 2.2 The City failed to comply with PRC Section 41825 in that it failed to adequately implement programs listed in its SRRE that target significant portions of the City's waste stream. In addition, the City failed to comply with PRC Section 41780 in that it did not maintain the solid waste requirement of 50 percent diversion, and programs are not achieving all reasonable and feasible levels of diversion. The City's 2005 and 2006 diversion rates were 45 percent and 38 percent, respectively.
- 2.3 The City failed to demonstrate that it made a good faith effort in implementing its SRRE in accordance with PRC Section 41825. The City did not provide adequate information to indicate that it had made all reasonable and feasible efforts to implement its SRRE or to implement alternative programs that achieve the same or similar results.
- 2.4 Staff notified the City by mail on August 27, 2008, in order to confer with the City regarding this Compliance Order because Board staff's 2005/2006 Biennial Review indicated that the City's diversion rate is less than 50 percent, and programs were not achieving all reasonable and feasible levels of diversion that are necessary to meet the requirements of PRC Section 41780. In addition, a 30-day Notice of Intent to issue a Compliance Order was mailed to the City on January 15, 2009, in accordance with PRC Section 41825.

SCHEDULE FOR COMPLIANCE

- 3.1 Based on the foregoing determination of compliance deficiencies, it is hereby ordered that:
- a. The City shall work with Board staff to determine gaps in program areas and develop a Local Implementation Plan (LIP) which includes those conditions necessary for the City to achieve compliance and improve, expand, or implement new diversion programs.
 - b. The City shall develop and submit to the Board a fully executed LIP by March 31, 2009.
 - c. The City will fully implement the programs identified in the LIP by February 28, 2010.
 - d. Board staff will monitor the City's continued implementation of the programs identified in the LIP for one year from February 28, 2010, through February 28, 2011 ("the oversight period").
 - e. The City will submit quarterly status reports based on the calendar year. These reports shall use the Board's electronic, quarterly reporting format. The quarterly status reports are to be used to document the status and work completed for each of the identified tasks in the LIP. For each task in the LIP, the City will explain the status of the task, specifying what actions have been taken to complete the task. If the work has fallen behind schedule or has not been completed, the City will explain the reason(s) for the delay/incompletion. The City will also attach any required reports, using additional sheets as necessary for the report.
 - f. At any time prior to the conclusion of the oversight period, if Board staff determines that the City has failed to make a good faith effort to implement the programs identified in the LIP, the Board may immediately notice a public hearing pursuant to PRC Section 41850, to determine whether or not to impose administrative civil penalties against the City of up to \$10,000 per day.
- 3.2 Penalties: At the end of the oversight period, Board staff will meet with the City to assess the City's implementation efforts relating to its LIP; then the Board shall hold a public hearing to

determine whether or not the City has complied with all sections of this Compliance Order. Failure to implement programs and/or comply with all section of the Compliance Order at any time may result in fines of up to (\$10,000) per day in accordance with PRC Section 41850(a). In addition, the Board has discretion to notice a hearing at any time upon staff's recommendation that the City has completed the conditions of the Compliance Order.

- 3.3 Submittals: Quarterly status reports and annual report documents are required to be submitted electronically (via e-mail/e-filing). However, the Jurisdiction Compliance and Audit Section will consider requests to submit hard copies if notified in writing of why the City is unable to submit all or some documents electronically. Excluding the quarterly status reports and the annual report documents, all other documents may be submitted electronically or via hard copy. All hard copy mail shall be sent to:

**Jurisdiction Compliance and Audit Section
California Integrated Waste Management Board
1001 I Street
Sacramento, CA 95812
Attn: Compliance Order Correspondence
Tracking No: IWMA BR09-001**

- 3.4 Communications: The Board or its designee will communicate all approvals and decisions of the Board made regarding the adequacy of submittals to the City in writing. No informal advice, guidance, suggestions, or comments by the Board's staff regarding reports, plans, schedules, or any other documents submitted by the City shall be considered to be Board approvals.
- 3.5 Board Review and Approval: If the Board determines that any report, plan, schedule, or other document submitted for approval pursuant to this Compliance Order fails to comply with the Compliance Order or fails to achieve successful implementation of the SRRE, the Board or its designee may:
- a. Return the document to the City with recommended changes and a date by which the City must submit to the Board the document incorporating the recommended changes, or

- b. Serve a notice that the Board will hold a public hearing to consider the imposition of penalties in accordance with PRC Section 41850.
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- 3.6 Compliance with Applicable Laws: The City shall carry out this Compliance Order in compliance with all Local, State, and Federal requirements, including but not limited to requirements to obtain necessary permits.
 - 3.7 Liability: Nothing in this Compliance Order shall constitute or be construed as a satisfaction or release from liability for any conditions or claims arising as a result of past, current, or future operations of the City.
 - 3.8 Government Liabilities: The State of California and the Board shall not be liable for injuries or damages to persons or property resulting from acts or omissions in carrying out activities pursuant to this Compliance Order, nor shall the State of California be held as a party to any contract entered into by the City or its agents in carrying out activities pursuant to the Compliance Order. The City shall indemnify, defend and save harmless the State, its officers, agents, and employees from any and all claims and losses accruing or resulting in connection with the performance of this Compliance Order.
 - 3.9 Extension Request: If the City determines that it will be unable to perform any activity or submit any document within the time required under this Compliance Order, the City may, as far in advance of the due date as possible, request an extension of time in writing. The extension request shall include a justification for the delay.
 - 3.10 Extension Approvals: If the Board or its designee determines that good cause exists for an extension, it will grant the request and specify in writing a new compliance schedule.

- 3.11 Parties Bound: This Compliance Order shall apply to and be binding upon the City and upon the Board and any successor agency (regional agency, etc.) that may have responsibility for, and the authority over, the subject matter of this Compliance Order.

EFFECTIVE DATE

- 4.1. This Compliance Order is final and effective from the date of issuance.

Date of Issuance

February 24, 2009

A handwritten signature in cursive script, appearing to read "Margo Reid Brown", is written over a horizontal line.

Margo Reid Brown, Chair
California Integrated Waste Management Board

CALIFORNIA INTEGRATED WASTE MANAGEMENT BOARD

Resolution 2009-27

Consideration Of The 2005/2006 Biennial Review Findings For The Source Reduction And Recycling Element And Consideration Of Issuance Of A Compliance Order For The City Of Clearlake, Lake County

WHEREAS, Public Resources Code (PRC) Section 41825 requires the California Integrated Waste Management Board (Board) to review each City, County, and Regional Agency's Source Reduction and Recycling Element (SRRE) and Household Hazardous Waste Element (HHWE) at least once every two or four years; and

WHEREAS, PRC Section 41825 provides that if the Board finds that the City, County, or Regional Agency has failed to adequately implement its SRRE or HHWE, the Board shall issue a Compliance Order with a specific schedule for achieving compliance that shall include those conditions which the Board determines to be necessary for the jurisdiction to complete in order to implement its SRRE or HHWE; and

WHEREAS, based on the staff review of the jurisdiction's implementation of programs identified in its SRRE, Board staff has determined that the City of Clearlake (City) has not made a good faith effort to implement the programs as identified in its SRRE in that programs are not achieving all reasonable and feasible levels of diversion that are necessary to meet the requirements of PRC Section 41780; and

WHEREAS, PRC Section 41825 allows the Board to issue a Compliance Order to a jurisdiction determined to have failed to adequately implement its SRRE after conferring with the jurisdiction with its intent to issue a Compliance Order at least 60 days prior to issuing a notice of intent to issue a Compliance Order; and

WHEREAS, PRC Section 41825 requires the Board to notify a jurisdiction of its intent to issue a Compliance Order at least 30 days prior to holding the hearing to consider issuing the Compliance Order; and

WHEREAS, Board staff has conferred with the City at least 60 days prior to issuing a notice of intent to issue a Compliance Order, and has notified the City at least 30 days prior to this Board hearing where the item considering issuing the City of Compton a Compliance Order was heard;

NOW, THEREFORE, BE IT RESOLVED that the Board finds the City of Clearlake has not adequately implemented its SRRE; and

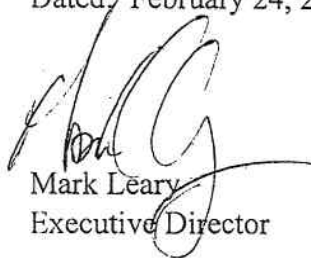
(over)

NOW, THEREFORE, BE IT FURTHER RESOLVED that the Board Adopt Compliance Order No. IWMA BR09-001 concerning the matter of City of Clearlake, County of Lake.

CERTIFICATION

The undersigned Executive Director, or his designee, of the California Integrated Waste Management Board does hereby certify that the foregoing is a full, true, and correct copy of a resolution duly and regularly adopted at a meeting of the California Integrated Waste Management Board held on February 24, 2009.

Dated: February 24, 2009



Mark Leary
Executive Director